Magnetic frustration and fractionalization in oligo(indenoindenes)

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(Dated: November 15, 2022)

Poly(indenoindenes) are π -conjugated ladder carbon polymers with alternating hexagons and pentagons hosting one unpaired electron for each five-membered ring in the open-shell limit. Here we study the main magnetic interactions that are present in finite oligo(indenoindenes) (OInIn), classifying the six possible isomers in two different classes of three isomers each. One class can be rationalized by frustrated S = 1/2 Heisenberg chains, with ferromagnetic interactions between neighbour sites and antiferromagnetic interactions between the next neighbours. The other class is characterized by the more trivial antiferromagnetic order. Employing several levels of theory we further show that the ground state of one of the isomers is a valence-bond solid (VBS) of ferromagnetic dimers (S = 1). This is topologically similar to that of the Affleck-Kennedy-Lieb-Tasaki (AKLT) model, which is known to show fractional S = 1/2 states at the edges.

The study of graphene-related systems as playground for realizing exotic phases of matter is a topic of intense research in modern physics. The observation of superconductivity in magic-angle twisted bilayer graphene¹, or the discovery of fractional edge states in triangulene chains², would be fair examples of such claim. Thus, as a generalization of the latter, open-shell nanographenes that host localized electrons can be used to design more complex architectures that mimic model and spin Hamiltonians, displaying the non-trivial physics of these models²⁻¹².

Inconveniently, the high reactivity inherent to unpaired electrons has prevented the realization of these systems for many decades. Solely recent experiments employing on-surface synthesis in ultra-high vacuum conditions has shown effective for obtaining such pristine open-shell molecules^{13–16}. Further characterization, by means of scanning tunneling microscopy (STM), has also probed the existence of local moments by measuring Kondo peaks^{17–19} or inelastic steps^{20–22}. To this day, this is a well-established technology, so there is a whole plethora of platforms with localized electrons and π magnetism^{23,24}.

Electron localization in nanographenes is related very often with states pinned at (or close to) the Fermi energy²⁵, which are originated by several sources like sublattice imbalance²⁶ or non-trivial topology^{27,28}. These zero modes can interact by different exchange mechanisms^{29,30}, leading to either ferromagnetism or antiferromagnetism. The total spin quantum number of the ground state at half-filling can be predicted by the Lieb's theorem³¹ ($S = |N_A - N_B|/2$). This theorem was originally formulated for bipartite lattices (with $N_{A,B}$ sites belonging to the sublattices A and B) and the Hubbard model, and later found to be in agreement with numerical results beyond this method³².

In the following we will primarily focus on nonbipartite systems, more specifically, finite conjugated ladder polymers that alternate hexagon and pentagon rings: the oligo(indenoindenes) (OInIn, Fig. 1). The inclusion of P pentagon rings induces frustration in the sublattices³³, making Lieb's theorem no longer applicable, but one may expect exchange interactions to work similar as in bipartite lattices. For instance, two overlapping electrons present ferromagnetic (FM) exchange^{29,30} $(J^{\rm FM} \propto U)$, whilst coupling through hopping leads to kinetic antiferromagnetic (AF) exchange^{30,34,35} $(J^{\rm AF} \propto 1/U)$. It is worth to mention that there might be other interactions that can be important, *i.e.*, Coulomb-driven exchange^{29,30}, but the former two will play a dominant role in the systems discussed here.



FIG. 1: Isomeric forms of the OInIn in the open-shell configuration. These systems would derive from the following molecules: isomers **1** and **3** from indeno[1,2-a]fluorene, isomer **2** from indeno[2,1-b]fluorene, isomer **4** from indeno[1,2b]fluorene, isomer **5** from indeno[2,1-a]fluorene and isomer **6** from indeno[1,2-c]fluorene. Any other isomeric system is just a combination of these. According to our analysis, the structures can be grouped into classes **I** and **II** according to different magnetic interactions.

In Fig. 1, we show the six possible isomers that can be drawn by keeping invariant the angle of two vectors that point in the vertex direction of adjacent pentagons. Despite their high instability, experiments on several of these systems have been reported to this day, like the antiaromatic P = 2 island³⁶ and oligomers³⁷ of isomer 4. In

2

that case, it is expected by theory^{38,39}, and confirmed by atomic force microscopy (AFM) experiments³⁶, that the shortest island is closed-shell, but the ground state develops magnetism when the system is enlarged according to calculations^{37,40,41}. The synthesis of a C_3 symmetric derivative (truxene-5,10,15-triyl) with S = 3/2 ground state is also confirmed^{42,43}, as well as polymers of P = 2islands⁴⁴ of isomer **2**, and polymers of P = 2 islands of isomer **4** with intercalations⁴⁵ of isomer **5**.

Drawing the maximum number of Clar sextets in OInIn leaves one unpaired electron per pentagon⁴⁶, justifying their radical character. According to this, we argue that such indenofluorene derivatives, with P pentagons and P+1 hexagons, may be understood as effective electron chains of size P. This picture corresponds to the open-shell limit, and it is convenient for our purpose in this article, although a more realistic description would consist of a combination of different configurations that reduces the radical character⁴⁷. This is of especial relevance for some P = 2 islands that are closed-shell^{38,39}, but simplifying the system to electron chains allows us to study the exchange mechanisms at a fundamental level, which also explains the physics of the shortest molecules.

Thus, in this work two things are done. First, we consider that the OInIn can be interpreted, in the tightbinding approximation, as bipartite systems plus a hopping t' that closes the pentagons⁴⁸. Then, when interactions are considered, we show that isomer **1** presents a ground state in the vicinity of t' = t that consists in P/2 FM dimers with effective S = 1 quantum number each (Fig. 2a). Second, in order to explain these results, we perform an analysis of the different magnetic exchanges that may be present in the molecule. By this, we manage to classify the six isomers in two different groups: antiferromagnets (isomers **4**, **5** and **6**) and frustrated chains with antiferromagnetic second neighbours interactions (isomers **1**, **2** and **3**).

Methods. In order to obtain our results, we consider electron interactions by means of the Hubbard model, that we solve using two approaches: a collinear mean-field (MF) approximation and an exact diagonalization with a complete active space $CAS(N_e, N_o)$, where N_e (N_o) refers to the number of electrons (single-particle orbitals) included. Then, we compare the results of isomer **1** with those from density functional theory (DFT), where we employed the Quantum Espresso^{49–51} and ORCA⁵² packages with the PBE-GGA⁵³ and PBE0^{54,55} exchange-correlation functionals, respectively (see supp. mat.).

Results. The origin of magnetism in the OInIn lies in the unpaired electrons, that are mainly localized at the pentagons. These can be visualized in a simple noninteracting tight-binding approximation, where P singleparticle states are present inside a big gap in isomer 1 (Fig. 2b). As we can see, such in-gap states can be understood as the hybridized zero modes of the bipartite molecule with t' = 0 and sublattice imbalance $|N_A - N_B| = P$. Apparently, this hybridization is not enough to prevent magnetism, and local moments appear in a MF-Hubbard model calculation for a P = 8 molecule when U > 0.8|t| (Fig. 2c). Counter-intuitively, these pentagon moments do not display either ferromagnetism or antiferromagnetism, but instead they organize as FM dimers with AF order, distributed all over the chain (Fig. 2a). The stability of these dimers can be tested by modulating t', where they are the ground state in isomer **1** when 0.75 < t'/t < 1.15, showing that a certain range of hybridization is key for their existence (Fig. 2d).

In Fig. 2c, we show the energy difference between some magnetic solutions of an isomer $\mathbf{1} P = 8$ molecule and the $\uparrow\downarrow\downarrow\uparrow\uparrow\downarrow\downarrow\uparrow$ configuration as a function of the on-site Coulomb repulsion U. After the system turns magnetic, the dimers dominate with a maximum energy difference of 46 meV for $U \approx 1.8|t|$. This representation reveals the stabilization inherent to the formation of the dimers, since a solution $\uparrow \downarrow \uparrow \uparrow \downarrow \downarrow \uparrow \downarrow$ with just two dimensishing higher keep increasing U, the dimensistability is compromised, so they are the ground state for 0.8|t| < U < 2.3|t|, which lies in the range that is usually taken to be physically relevant for nanographenes⁵⁶. Additionally, we compute the FM phase with S = P/2, which is a higher energy solution until $U \approx 3.5|t|$ (not shown) that becomes the ground state.

In addition, we also performed DFT calculations for isomer 1 with different lengths and density functionals (see Table I). In all cases, the FM dimers were the most stable solution. Nonetheless, with the PBE-GGA functional, for the P = 4 molecule the difference with $\uparrow\downarrow\downarrow\uparrow\uparrow$ is just of 2.2 meV, and 2.1 meV with the closed-shell solution, which may shed doubts about a spin-polarized configuration as the lowest energy state. However, for either larger polymers, or with the hybrid PBE0 functional, this energy separation increased, which validates the FM dimers as the ground state, as we obtained with the MF-Hubbard model.

We want to point out one further remarkable feature of these polymers. In Fig. 2e we represent the absolute value of the magnetic moment at each pentagon vertex n for a large isomer 1 molecule with P = 64, calculated with the MF-Hubbard model for U = 0.85|t|. With periodic boundary conditions (PBC), positive and negative moments of equal magnitude alternate every two pentagons. When the edges are present, we can see that, whilst they keep the same dimeric pattern, the magnetic moments get strongly localized at the termini, suggesting non-trivial topology.

In order to understand the physics behind this magnetic behaviour, it is useful to consider these systems as just bipartite lattices composed of hexagons, linked by an extra carbon atom, plus an additional hopping that completes the ladder backbone⁴⁸. Then, for P = 1 and t' = 0, we have a nanographene that consists of two hexagons with one carbon that serves as linker. Such molecule displays sublattice imbalance and one zero mode mainly



FIG. 2: Magnetic results obtained with MF-Hubbard model for isomer 1. a) Magnetic moments m_i per carbon site i of the lowest energy solution calculated for U = 1.5|t|, isomer 1 with P = 16 pentagons. Color stands for the sign and the area for the relative magnitude of the moment. Double bonds and H atoms are removed for clarity. b) Single-particle spectra around the Fermi energy with t' = 0 and t' = t for isomer 1 with P = 8, calculated with the tight-binding model (t = -2.7 eV). c) Energy difference for different magnetic solutions (labeled as $\sigma_1 \sigma_2 \dots \sigma_P$, where σ_n is the sign of the moment at the n^{th} pentagon vertex) and a solution with, apparently, FM dimers throughout the molecule except the edges $(\uparrow\downarrow\downarrow\uparrow\uparrow\downarrow\downarrow\downarrow\uparrow$, hereafter broken dimers), converged with MF-Hubbard as a function of U for isomer 1 with P = 8. CS stands for closed-shell. d) Energy difference for the $\uparrow\uparrow\downarrow\downarrow\uparrow\uparrow\downarrow\downarrow\downarrow$ (FM dimers) and $\uparrow\uparrow\uparrow\uparrow\uparrow\uparrow\uparrow\uparrow\uparrow$ (FM) solutions and the broken dimers as a function of t' for isomer 1 with P = 8, calculated with MF-Hubbard model and U = 2|t|. e) Plots of the absolute values of the magnetic moments at each pentagon vertex atom n of the lowest energy solution (FM dimers) for isomer 1 with U = 0.85|t| and P = 64, considering both periodic (PBC) and open (OBC) boundary conditions. The red/blue colors stand for the sign of each moment. The formation of local magnetic edge moments in the OBC case is clearly seen.

localized at the carbon atom that links the hexagons (Fig. 3a). All the isomers are formed depending on the position and the rotation of the bond that connects to the next linker. For instance, the molecule at the inset of Fig. 3b corresponds to isomer **1** with P = 2 and t' = 0.

In this case, the second linker adds an extra atom to the majority sublattice, and therefore $|N_A - N_B| = 2$. Interestingly, the wave functions of the degenerate zero modes can be chosen to be localized in a similar way as that of the previous P = 1 molecule.



FIG. 3: Analysis of the different magnetic exchanges present in OInIn. a) and b) are the non-interacting tight-binding spectra of two OInIn with P = 1 and P = 2, both with t' = 0. The absence of pentagons allows the bipartite classification of the lattice, and the sublattice imbalance of each molecule entails the presence of zero modes. The insets at each panel stand for the probability distribution of these zero modes. c) Sketch of the zero modes distribution of t' = 0 molecules on a lattice of isomer **1**. There are two ways these zero modes couple considering just first-neighbours hopping, which leads to a FM first-neighbours interaction and first/second-neighbours AF exchange when $t' \neq 0$. d) Effective spin-1/2 model that the isomers from class **I** can be mapped to according to this analysis.

As a consequence, an isomer 1 polymer with P pentagons and t' = 0 presents P zero modes, localized each one of them at one linker and, to a lesser degree, at the adjacent hexagons. This is schematically represented in Fig. 3c, from which we can infer three main magnetic interactions when t' is included in the picture. First, two first-neighbouring unpaired electrons share one hexagon, where their wave functions overlap, leading to a FM Hund's interaction³⁰ that scales with U. Second, t' hopping promotes a kinetic Anderson AF exchange³⁰, proportional to 1/U, that happens between first- and second-

neighbours. Summarizing: isomer 1 presents competing AF and FM first-neighbours interactions and AF second-neighbours interaction between the unpaired electrons.

PBE-GGA				
$\Delta E \ (meV)$	P = 4	P = 6	P = 8	1D
Broken Dimers	2.2	38.5	18.4	-
Closed-Shell	2.1	118.6	41.1	28.6
FM $(S = P/2)$	654.4	854.9	1316.5	741.8
PBE0				
$\Delta E \text{ (meV)}$	P = 4	P = 6	P = 8	1D
Broken Dimers	93.6	118.2	133.9	-
Closed-Shell	504.7	1153.2	1084.5	-
FM $(S = P/2)$	293.1	511.1	741.1	-

TABLE I: Energy differences ΔE for the different magnetic solutions of isomer 1, relative to that of the FM dimers, calculated with DFT for three molecular lengths and two different density functionals (PBE-GGA and PBE0), along with the periodic 1D polymer with the PBE-GGA functional. The relaxed unit cell of the 1D polymer included four pentagons (see sup. mat.), and the energies for this system are referred to that unit cell. The relaxed geometry of the FM dimers solution was used for calculating all the magnetic phases.

The fact that we converge FM dimers as the lowest energy phase of isomer 1 is not trivial, since dimerization may happen as a result of magnetic frustration. Actually, two examples where dimerization occurs in the ground state are the frustrated S = 1/2 Heisenberg chains with second-neighbours AF exchange and either AF or FM first-neighbours interactions^{57–63}:

$$\mathcal{H} = J_1 \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j + J_2^{\text{AF}} \sum_{\langle \langle i,j \rangle \rangle} \vec{S}_i \cdot \vec{S}_j, \qquad (1)$$

where \vec{S}_i is the spin operator vector and $\langle i, j \rangle$ ($\langle\!\langle i, j \rangle\!\rangle$) runs over first (second) neighbouring spins with J_1 (J_2^{AF}) magnetic exchange.

Hence, Eq. (1) has two possibilities. First, when $J_1 \equiv J_1^{AF} > 0$, the model can be exactly solved at the Majumdar-Ghosh point⁵⁷⁻⁶¹ $(J_1^{AF} = 2J_2^{AF})$, and the ground state consists of a valence-bond solid (VBS) of singlets that served as inspiration of the AKIT model⁶⁴. Second, considering PBC, in the $J_1 \equiv J_1^{FM} < 0$ case^{62,63} we find two regimes: $|J_1^{FM}/J_2^{AF}| > 4$, where the ground state is the FM solution, and $|J_1^{FM}/J_2^{AF}| < 4$, where frustration promotes spontaneous symmetry breaking, through a process of order by disorder⁶⁵, that leads to a VBS of FM dimers^{62,63}. Interestingly, since these FM dimers effectively behave as S = 1 spins, this phase has been theorized to have a hidden topological order similar to the AKLT model, showing a spin gap and edge-spin fractionalization⁶³.

Therefore, considering that when U increases the FM eventually surpasses the AF first-neighbours interaction,



FIG. 4: Spin-correlator analysis for isomer 1 molecules, comparing with the frustrated FM Heisenberg chain with OBC. a), b) and c) are the spin correlators between the vertex atom of first-neighbouring pentagons for molecules with different sizes and U = 1.5|t|. d), e) and f) are the spin correlators between first-neighbouring S = 1/2 spins of the frustrated FM chain with second-neighbours AF exchange and $J_1^{\rm FM} = -2J_2^{\rm AF}$.

isomer 1 can be described by a frustrated FM S = 1/2Heisenberg chain. This scenario, illustrated in Fig. 3d, along with the results shown in Fig. 2, lead us to suggest that the FM dimers shown so far are, indeed, such VBS, and the edge magnetization originates in the fractionalization of an effective Haldane chain⁶⁴.

In order to confirm this statement, we calculate spin correlators with a CAS-Hubbard model between the different unpaired electrons in isomer 1, and compare them with those between neighbouring spins in the frustrated FM Heisenberg chain (Fig. 4). To do this, we first compute the multiconfigurational eigenstates from an exact Hubbard model of isomer 1 with U = 1.5|t|. Since the VBS from polymers with P = 4m, where m is an integer, must be a singlet, we cannot use $\langle S_z(i) \rangle$ to assess the magnetic moment. Instead, we compute the spin correla-

tor between each pentagon-vertex that are separated by one hexagon (n, n + 1):

$$\chi_{i,j}^{\Psi} = \langle \Psi | S_z(i) S_z(j) | \Psi \rangle, \qquad (2)$$

where $S_z(i)$ is the z-component of spin operator at site *i* and Ψ is a many-body wave function.

In Fig. 4a-c we show the correlators between the adjacent unpaired electrons in isomer **1** for different lengths. Ψ is chosen to be the ground state, which changes spin multiplicity depending on U (supp. mat.). Since we are looking for the FM dimers phase, the selected Uwas inside a range where the polymers with P = 4m(P = 4m + 2) have an S = 0 (S = 1) ground state. These different spin quantum numbers are imposed according to whether the VBS presents even or odd number of dimers. Interestingly, for large U the ground state has S = P/2, as it happens for the frustrated Heisenberg chain when $|J_1^{\text{FM}}/J_2^{\text{AF}}| > 4$.

In the left panels of Fig. 4 we can clearly see the formation of the FM dimers, either by an alternation of signs in the spin correlators or by positive correlators with alternating strength⁶², as in the inner pentagons of the P = 8 molecule. These results are in qualitative agreement with the correlators between neighbouring spins of the frustrated FM Heisenberg chain (Fig. 4d-f), which indicates that isomer **1** is correctly captured by this spin model.

We want to stress in the possibility of doing a similar analysis than that of Fig. 3 for the other isomers. In this sense, we may anticipate their magnetic properties by means of their sublattice imbalance when t' = 0, that leads to the classification in Fig. 1. Isomers 2 and **3** have sublattice imbalance, then the wave functions of neighbouring unpaired electrons overlap and belong to the same class as isomer 1. On the other hand, isomers 4, 5 and 6, with $|N_A - N_B| = 0$, do not present this feature, and unpaired electrons are connected by firstneighbours hopping. The closure of the pentagon does not add here any significant interaction, hence these isomers can not be described by a frustrated chain, but by a tight-binding chain instead (see supp. mat.). Thus, the energy separation between the frontier molecular orbitals decreases with the length, that stabilizes the AF spin-polarized solution, in agreement with the notion that P = 2 molecules of class II are closed-shell^{38,66} that become magnetic when the size of the polymer is $increased^{36,40,41}.$

Class **I** isomers, on the other hand, as we have shown, are more complicated. In the literature⁶⁷, the smallest island of isomer **1** is expected to be a triplet, which agrees with our results, but isomer **2** with P = 2 is predicted to be an open-shell singlet^{66,68}. This can be explained if we consider that the larger hybridization in isomer **2** (Fig. 5a) strengthens the AF first-neighbours interactions enough to not be surpassed by the FM exchange. If that is the situation, the system can be rationalized with an S = 1/2 AF Heisenberg chain with AF second-neighbours exchange, that justifies the S = 0 solution of



FIG. 5: Study of the effects of radical hybridization on isomer **2**. a), d) Non-interacting spectra for different t', with t = -2.7 eV. b), e) Energy differences between different magnetic solutions as a function of U calculated with MF-Hubbard. c), f) Energy differences between different magnetic solutions as a function of t', calculated with the MF-Hubbard model and U = 1.8|t|. Left and right panels are for a molecule of isomer **2** with P = 8 with one and two hexagons separating the pentagons, respectively (insets of top panels).

the P = 2 island, and anticipates different physics for isomer **2** despite it belonging to the same class than isomer **1**.

This model, for larger chains and away of the Majumdar-Ghosh point, exhibits two distinct phases. When $J_1^{AF} > 2J_2^{AF}$, the numerical ground state consists in singlet dimers with a weak AF correlation, that is clearly seen in calculations of spin correlators calcu-

lated with the CAS-Hubbard model (supp. mat.). On the other hand, when $J_1^{AF} < 2J_2^{AF}$, the singlet dimers are ferromagnetically correlated⁶⁹, which looks like the broken dimers $\uparrow\downarrow\downarrow\uparrow\uparrow\downarrow\downarrow\uparrow$ ground state from MF-Hubbard of isomer **2** with P = 8 at 1.53|t| < U < 2.57|t|. However, the effective $\widetilde{U}/|\widetilde{t}|$ ratio³⁵ for isomer **1** (**2**) is 16.5 (1.8) (where $\widetilde{t} = \langle z_1 | \mathcal{H}_t | z_2 \rangle$, $\widetilde{U} = U \sum_i |z_{1,2}(i)|^4$, and $z_{1,2}$ are two adjacent zero modes of molecules with t' = 0 and U = 1.5|t|). Since the open-shell picture gets obscured³⁰ when $\widetilde{U} \sim |\widetilde{t}|$, then the description by a spin chain for isomer **2** may be compromised, concluding that further theoretical effort is needed to actually assess its ground state.

Finally, we explore a little further the idea that the FM dimers are unstable due to electron hybridization. As we can see in Fig. 5c, by decreasing t' it is possible to obtain the FM dimers as the ground state, but this modulation of t' seems unrealistic for a real carbon bond. Instead, by adding an additional hexagon between each pair of pentagons, the lower single-particle gap opens for t' = t (Fig. 5d) and the FM dimers are now the ground state for a broader window of U and in the $t' \approx t$ vicinity (Fig. 5e,f). These results show that, by decreasing the hybridization, the description by the frustrated FM chain becomes more feasible. Then, the FM dimers get stabilized, but also the FM phase, which is the ground state for U > 2.0|t|.

Conclusions. We have presented the FM dimers as the ground state of isomer 1, with characteristic magnetic localization at the termini that suggests fractionalization. To understand this behaviour, we performed an analysis of the magnetic interactions that classifies the isomers into either class I with competing FM and AF first-neighbours exchange and second-neighbours AF exchange, or class II with first-neighbours AF exchange only. The sign and range of these magnetic interactions are enough to explain the results obtained for isomer 1, since the FM dimers can be identified as the ground state of the S = 1/2 FM Heisenberg chain with AF secondneighbours exchange.

Acknowledgments. We thank Pavel Jelínek for fruitful discussions. This work was funded by the Spanish MCIN/AEI/ 10.13039/501100011033 (PID2020-115406GB-I00), the Basque Department of Education (IKUR Strategy under the collaboration agreement between Ikerbasque and DIPC), and the European Union's Horizon 2020 (FET-Open project SPRING Grant No. 863098).

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